

1 Appendix: Strategic Internal Audit Plan 2010/11 to 2012/13

Key to review theme or type:	
Reference	Description
TP	Transformational Projects
CC	Corporate/ Cross Cutting Reviews
IS	Information Systems Reviews:
FM	Financial Management Reviews:
CO	Corporate Governance
QS	Providing good value, high quality services
CW	Getting the city working
ET	Investing in education and training
PS	Keeping people safe
CG	Keeping the city clean and green
LP	Looking after people
MS	Reviews of miscellaneous services
OA	Other Direct Audit Activity:

Key to Audit Sponsor:	
Reference	Description
CEX	Chief Executive
CHC	Executive Director, Communities, Health and Care
CSL	Executive Director, Children's Services and Learning
ENV	Executive Director, Environment
NBH	Executive Director, Neighbourhoods
RES	Executive Director, Resources
SOL	Solicitor to the Council

Review Type	Audit	Audit Sponsor	Priority	Audit Status
Transformational Projects				
TP	Decent homes and Estates	NBH	H	scheduled 02/11
TP	Putting People First (In Control)	CHC	H	ongoing
TP	Building Schools for the Future	CSL	H	removed from plan
TP	City Renaissance	ENV	H	ongoing
TP	Town Depot Relocation	ENV	M	ongoing
TP	Local and Regional Planning	ENV	M	ongoing
TP	Developing the Neighbourhood Agenda and Community hubs	NBH	M	removed from plan
TP	Use of Natural Resources	ENV	H	removed from plan
TP	Estate Regeneration	NBH	H	ongoing
TP	Sheltered housing review	NBH	M	combined with decent homes
TP	Heritage centre	NBH	M	ongoing
TP	Tudor House Museum	NBH	M	ongoing
Corporate Cross Cutting Reviews				
CC	Contract management	RES	H	scheduled 02/11
CC	Information governance	RES	M	deferred
CC	Workforce Strategy	RES	M	scheduled 02/11
CC	Partnerships governance and reviews	CEX	H	ongoing
CC	Procurement	RES	H	scheduled 02/11

Review Type	Audit	Audit Sponsor	Priority	Audit Status
CC	Project management	RES	H	ongoing
CC	Strategic service partnership	RES	H	scheduled 03/11
CC	Customer services	RES	L	ongoing
CC	Local and multi area agreement	CEX	M	ongoing
CC	Repairs and Maintenance	RES	M	scheduled 02/11
Financial Management Reviews				
FM	Housing and Council Tax Benefits administration	RES	M	ongoing
FM	Asset management	RES	M	scheduled 01/11
FM	Cash collection and banking	RES	M	scheduled 04/11
FM	Creditors	RES	L	ongoing
FM	Debtors	RES	M	ongoing
FM	Expenses management	RES	M	draft report issued
FM	Housing rent collection and debt management	NBH	M	complete
FM	Council Tax	RES	M	ongoing
FM	NNDR	RES	M	complete
FM	Main Accounting System	RES	M	complete
FM	Payroll	RES	M	draft report issued
FM	VAT accounting	RES	L	scheduled 01/11
Information System Reviews				

Review Type	Audit	Audit Sponsor	Priority	Audit Status
IS	IS security management	RES	M	scheduled 02/11
IS	IS strategy and service delivery	RES	H	scheduled 02/11
IS	IT operating systems	RES	M	deferred
IS	Internet/e-mail	RES	M	scheduled 02/11
Corporate Governance Reviews				
CO	Corporate performance management	CEX	L	scheduled 03/11
CO	Internal audit	RES	L	ongoing
CO	Annual Governance Statement	RES	L	complete
CO	Fraud Thematic Review	RES	H	scheduled 03/11
CO	Precautions against fraud	RES	H	complete
Key Priority - Providing good value, high quality services				
QS	Joint Commissioning Standards	CHC	M	scheduled 02/11
QS	Efficiency Strategy	RES	M	scheduled 02/11
Key Priority - Getting the city working				
CW	Highways futures	ENV	H	ongoing
CW	Section 106 agreements	ENV	M	ongoing
Key Priority - Investing in education and training				
ET	Across Schools thematic reviews	CSL	H	scheduled 02/11
ET	General school reviews	CSL	H	2 of 3 complete

Review Type	Audit	Audit Sponsor	Priority	Audit Status
ET	Sports and recreation partnership	NBH	H	complete
ET	Post 16 Provision	CSL	H	scheduled 03/11
ET	School admissions	CSL	M	scheduled 01/11
Key Priority - Keeping people safe				
PS	Contact Point	CSL	H	removed from plan
PS	Safeguarding	CSL	H	complete
Key Priority - Keeping the city clean and green				
CG	Street Lighting PFI	ENV	H	ongoing
Key Priority - Looking after people				
LP	Housing Management	NBH	M	ongoing
LP	Thornhill Plus You	CEX	H	draft report issued
LP	Intermediate Care	CHC	M	ongoing
LP	Provider services	CHC	H	ongoing
Miscellaneous Service Reviews				
MS	Abandoned vehicles	ENV	L	complete
MS	Bereavement Services	ENV	L	complete
Other Audit Activity				
OA	European funding	CEX	L	ongoing
OA	Financial Management Standards in Schools	CSL	H	8 of 22 complete

Review Type	Audit	Audit Sponsor	Priority	Audit Status
OA	Audit Commission Liaison	-	-	ongoing
OA	Audit Committee support/ member liaison	-	-	ongoing
OA	Hampshire camera partnership	-	L	complete
OA	National Fraud initiative	-	H	complete
OA	Solent sea rescue	-	L	complete
Special Commissions/ ad hoc consultancy and advice/ contingency				
Heating Charges		NBH	H	complete
Pupil Referral Unit		CSL	H	complete
Holybrook Junior School		CSL	L	complete
Sports Development and Active Options Team		NBH	L	ongoing
INV10-01		-	H	complete
INV10-02		-	H	complete
INV10-03		-	H	ongoing
INV10-04		-	H	ongoing
INV10-05		-	H	ongoing
INV10-06		-	H	complete
INV10-07		-	H	ongoing
INV10-08		-	H	ongoing
INV10-09		-	H	ongoing

Review Type	Audit	Audit Sponsor	Priority	Audit Status
INV10-10		-	H	ongoing
INV10-11		-	H	complete
INV10-12		-	H	complete
INV10-13		-	H	complete
INV10-14		-	H	ongoing
INV10-15		-	H	ongoing
INV10-16		-	H	ongoing
INV10-17		-	H	ongoing